



12.10.2017

To,

Mr. S. Subramanian
DCS - CRD
Bombay Stock Exchange Limited
Dalal Street,
Mumbai 400 001

Subject: Quarterly Statement of Investor Complaint

Dear Sir,

Pursuant to regulation 13(3) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we are enclosing herewith "Investor Complaint Report" issued by Registrar & Share transfer Agent, M/s Sharex Dynamic (India) Pvt Ltd for the quarter ended on 30.09.2017 for your kind perusal.

Kindly acknowledge and take on record the same.

For **Ganon Trading and Finance Company Limited**



Ganon Trading and Finance Co. Limited

Unit No. 1207, B-Wing, One BKC, Plot No. C-66,

Bandra Kurla Complex, Bandra (E), Mumbai-400 051. ● +91 61340923 / 900

Email : ganontrading@gmail.com ● Website : www.ganontrading.com

CIN NO. L51900MH1985PLCO36708



CERTIFICATE



AN ISO 9001-2008 COMPANY

GANON TRADING & FINANCE CO LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-07-2017 TO 30-09-2017

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.	-	-	-	-	-	-	-
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.	-	-	-	-	-	-	-
3	DELAY/ NON-RECEIPTS IN ISSUE OF DUPLICATE SHARES.	-	-	-	-	-	-	-
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.	-	-	-	-	-	-	-
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	-	-	-	-	-	-	-
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	-	-	-	-	-	-	-
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	-	-	-	-	-	-	-
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	-	-	-	-	-	-	-
9	MANIPULATION IN THE ACCOUNTS STATEMENTS.	-	-	-	-	-	-	-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.	-	-	-	-	-	-	-
	NIL COMPLAINT RECEIVED	0	0	0	0	0	0	0

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)